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Docket No.: P-7705.03 US

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Michael D. Baudino et al.

Group Art Unit: 3

3762

Application No.:

10/053,329

Examiner:

Filed:

November 9, 2001

Due Date:

For:

Techniques for Selective Activation of Neurons in the Brain, Spinal

Cord Parenchyma or Peripheral Nerve

CERTIFICATE OF MAILING OR TRANSMISSION I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria Virginia 22313-1450, or facsimile transmitted to the U.S. Patent and Trademark Office on August 24, 2003.

SEP 0 2 2003

Theresa Belland

**TECHNOLOGY CENTER R3700** 

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. § 1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached Form PTO-1449. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Supplemental Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits. No certification or fee is required.

The references were cited in a counterpart foreign application. An English language version of the foreign search report is attached for the Examiner's information.

By submitting these references, Applicant does not admit that the references are prior art to or material to this application, and reserves the right to establish that any reference is not prior

Application No.: 10/053,329

art. Applicant does not represent that the references have been reviewed in detail; there may be details in the references of which Applicant is unaware.

Respectfully submitted,

Date: August 21, 2003

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AUG 2 6 2003 Approved for use through 07/31/2003. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO	Complete if Known		
INFORMATION DISCLOSURE	Application Number	10/053,329	
STATEMENT BY APPLICANT	Filing Date	November 9, 2001	
STATEMENT DI AFFLICANT	First Named Inventor	Michael D. Baudino et al.	
(use as many sheets as necessary)	Group Art Unit	3762	
	Examiner Name		
Sheet 1 of 1	Attorney Docket Number	P-7705.03 US	

PTO/SB/08a (06-03)

U.S. PATENT DOCUMENTS							
		U.S. Patent l			Date of Publication of		
Examiner Initials*	Cite No.1	Number	Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		5,685,839		Edwards et al.	11-11-1997		
	<u> </u>		<del> </del>				
						RECEIVED	
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FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Office <sup>3</sup>	Foreign Patent Docu Number <sup>4</sup>	Ment Kind Code <sup>5</sup> (if known)	Name of Patentee of Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁵
		WO	97/39797	Al	Medtronic, Inc.	10-30-1997		
		WO	99/00067	Al	The University of Iowa Research Foundation	01-07-1999		

Examiner	Date	
Signature	 Considered	
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 USC 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw Line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number.

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For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.
Kind of document by the appropriate symbol as indicated on the document under WIPO Standard ST. 16 if possible.
Applicant is to place a check mark here if English language Translation is attached.</sup>